

# Executive Education Masterclass

## Governance and Risk

### - Taking Stock

3 November 2010  
9:30am – 5.00pm



A practical and intensive one-day workshop designed for busy senior and middle managers who need to refresh their knowledge of governance and risk issues.

Benefits of attending:

- Understand the latest key issues across the risk and regulatory agenda
- Refresh your knowledge of core principles in one day, in a fast moving workshop environment
- Ensure your firm is addressing the right questions

8th Floor, Peninsular House, 36 Monument Street, London EC3R 8LJ

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## Overview

As a consequence of the turmoil in the global financial markets, the UK's Financial Services Authority has been exploring how it can attempt to minimise the risk of recurrence in the UK. The Turner Review, which has been widely welcomed, has recognised the causes of the crisis and has sought to identify just what might be done. The Walker Review has explored the question of corporate governance and risk management in financial institutions. The Basel Committee has sought to enhance the Basel II framework.

It is clear that the regulators expect senior managers to be better qualified and informed in order to enable them to better understand and challenge the business of the financial institutions of which they are guardians. It is equally clear that financial institutions are expected to improve their risk management capabilities generally, and to be more transparent in terms of the activities they undertake and the risks they run.

## Learning outcomes

As a result of this workshop, participants will:

- have an up-to-date appreciation of regulatory thinking on the governance of financial institutions
- obtain an insight on current risk issues and changes to regulatory expectations
- refresh their knowledge of core risk methodologies
- understand the importance of dynamic business intelligence

## Who should attend?

This workshop will be of particular interest to senior and middle managers in UK regulated institutions, in the following areas:

- Risk management
- Internal audit
- Treasury
- Liquidity management
- Compliance
- Financial control
- Regulatory reporting

# Programme agenda

- Bank financial management and concepts of banking regulation
- Principles of good governance
- Principles of good risk management and compliance
- Integration of risk, capital and business performance
- Approaches to credit risk
- Approaches to operational risk
- Approaches to market risk
- Internal Capital Adequacy Assessment Process (ICAAP)
- Stress testing and scenario analysis
- Risk appetite
- Capital planning and management
- Liquidity risk management
- Market discipline
- The benefits of robust management information
- Responsibilities of the Board

# About your trainer...

Paul Ashton



Paul has deep experience as both a practitioner and consultant. Currently Head of Consulting for KnowCo, a specialist GRC consulting and solutions firm, he was previously Head of Consulting for Quadrant Risk Management, prior to which he held senior positions at GE Capital, United Bank of Kuwait, Citibank and Arbuthnot Latham. Since late 2008 Paul has supported UK clients in the UK in the pragmatic adoption and implementation of BIPRU 12.

## Location

*ifs* School of Finance, 8th Floor, Peninsular House, 36 Monument Street, London EC3R 8LJ, United Kingdom

## How to book

Please return your completed registration form (attached) to Executive Education Team, *ifs* School of Finance, 8th Floor, Peninsular House, 36 Monument Street, London EC3R 8LJ, United Kingdom.

Alternatively please contact +44 (0) 20 337 6286 or email [executiveeducation@ifslearning.ac.uk](mailto:executiveeducation@ifslearning.ac.uk).



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